INTERNATIONAL ASSISTANCE AND CAPACITY BUILDING IN AVIATION SECURITY
Briefing for AVSEC/FAL/RG-PA
May, 2011

Implementation Support and Development (ISD) – Security

Purpose of Presentation

- Review **background and context** for new *ICAO Assistance and Capacity Building Strategy for Aviation Security*

- Set out the **Strategy's objectives and key elements**

- Highlight **implications for ICAO and partners**

- Identify **issues and interests** relevant to ASTCs

Civil aviation security threats and vulnerabilities

- Threats worldwide are continuing, evolving and challenging to predict
- All facets of civil aviation are at risk – passenger aircraft, air cargo, airports, and related facilities and operations
- Despite improvements, many vulnerabilities remain:
  - Aviation Security Audits show many States with deficiencies in Standards and Recommended Practices, and Oversight Regimes
  - some States are facing "Significant Security Concerns" (SSeC) designation
- Strong need/demand for assistance and capacity building

ICAO's Mandate and Objectives for Civil Aviation Security

- Lead and support global efforts to establish sustainable civil aviation security regimes and oversight systems to:
  - prevent unlawful interference with civil aviation;
  - respond effectively to attacks and attempted attacks; and
  - assure the safe and efficient movement of people and goods

37th *Assembly Declaration on Aviation Security*

- **Commitment to strengthening aviation security worldwide**:
  - enhance/promote ICAO Standards and Recommended Practices
  - improve screening procedures, and utilize modern technologies
  - enhance security measures for facilities and in-flight security
  - implement strengthened and harmonized air cargo security

37th *Assembly Declaration on Aviation Security*….(cont'd)

- – promote enhanced travel document security
- – improve ability to correct deficiencies identified in audits
- – promote increased use of cooperation mechanisms
- – share best practices and information in key areas
- – assist States in need, through funding, capacity building and technology transfer in cooperation with States, international organizations and industry

ICAO Comprehensive Aviation Security Strategy (ICASS)

All seven ICASS Focus Areas for 2011-2016 are relevant to assistance and capacity building efforts:

1. New and existing threats
2. Innovative, effective and efficient security approaches
3. Sharing of information
4. Global compliance and sustainable oversight capability of States
5. Human factors and security culture
6. Mutual recognition for aviation security processes
7. Importance of security amongst States and stakeholders

ICASS Implementation

- An **ICAO-wide commitment** and responsibility

- **Implementation to be well-harmonized, disciplined and coordinated:**
  - strategic priorities
  - regional approach
  - renewed partnerships
  - sustainable solutions

- **Assistance and capacity building** for States is vital for success

AVSEC Programme's Role in Aviation Security

- Articulate aviation SARPs and guidance

- Facilitate, monitor and audit State compliance

- Identify priority deficiencies and States in greatest need

- Develop/negotiate assistance and capacity building arrangements to support security improvement plans

- Engage and coordinate member States, donors/funders, Technical Cooperation Bureau (TCB), regional mechanisms and other partners

ICAO Assistance and Capacity Building Strategy for Aviation Security

- The objective of the Strategy is to help ICAO member States meet their obligations:
    - address deficiencies in security oversight systems and compliance with SARPs
    - establish long-term sustainability of security regimes and oversight systems
    - maximize cooperation and collaboration

- The ultimate goals are more consistent, complete and timely global protection against unlawful interference, and more effective responses to attacks and attempted attacks

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Delivery on the Strategy is a full AVSEC Branch Commitment

- "Assistance" is *the* common element in *all* AVSEC Programme functions:

    – Security and Facilitation Policy Section

    – Aviation Security Audits Section

    – Implementation Support and Development – Security Section

    – Aviation Security Regional Officers

Key Themes of the Assistance and Capacity Building Strategy

1. More cohesive and coordinated ICAO-wide approach

- strong declaration of ICAO assistance commitments and policies

- clear articulation of assistance roles across ICAO

- more active and strategic engagement of TCB

- more systematic and effective assistance planning and coordination

Key Themes of the Assistance and Capacity Building Strategy...(cont'd)

2. Disciplined focus on States in greatest need

- new criteria and protocols for identifying priority States in greatest need of assistance

- proactive outreach to priority States in greatest need

- new system of Security Improvement Plans for priority States, backed by concentration of ICAO effort and resources where need is greatest

- continued access to training, workshops, advisory and others services to support non-priority States in their continuous improvement efforts

Key Themes of the Assistance and Capacity Building Strategy…(cont'd)

3. Updated assistance offerings to respond to evolving needs and circumstances

- new policy and guidance materials

- updated training courses and workshops

- more active and strategic TCB involvement in long-term capacity building for aviation security

- more substantial and responsive advisory services to assist States with their compliance efforts

Key Themes of the Assistance and Capacity Building Strategy…(cont'd)

4. **Stronger engagement of partners and regional mechanisms**

- greater collaboration with donor/contributing States and relevant industry organizations

- greater support and use of regional mechanisms

- enhanced guidance and support for partnership development

Key Themes of the Assistance and Capacity Building Strategy…(cont'd)

5. New accountability mechanisms focused on sustainable results

- In some cases, a formal MOUs for new system of Security Improvement Plans for priority States in greatest need

- new system of Regional Plans for Assistance and Capacity Building

- new regime for performance monitoring and evaluation of assistance and capacity building efforts

- targeted measures to enhance cost-effectiveness of assistance and capacity building efforts of ICAO and partners

- regular reporting to Council on progress on the Strategy, and evolving needs

Key implications of the Strategy

- More disciplined and focused approach to assistance and capacity building
- ICAO-wide engagement and accountability
- More direct, active and sustained collaboration with partners, Regions
- New resource demands
- Need to manage priorities and expectations

A vital role for ASTCs…

- **The backbone** of global training efforts in aviation security – building awareness, commitment, knowledge, skills and professionalism

- **In touch** with regional and local training needs, conditions and opportunities

- **Strategically focused** to coordinate offerings that maximize efficiency and meet shared objectives

- **Well positioned** to identify emerging training and capacity building needs, issues and concerns

- **Committed** to continual updating and improvement, and sharing of best practices