



INTERNATIONAL CIVIL AVIATION ORGANIZATION (ICAO)
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**THIRD MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL GROUP
(AVSEC/FAL/RG/3)**

Lima, Peru, 17 to 21 June 2013

AVSEC/FAL/RG/3 — WP/14
14/06/13

Agenda Item 7: Training, Cooperation and Assistance

7.1 Report on Personnel Qualifications, Training and Human Factors Projects

IMPLEMENTATION OF CERTIFICATION OF AVSEC PERSONNEL AND THE DEVELOPMENT OF STATE LEGISLATION

(Presented by Jamaica)

SUMMARY

Despite the certification standard for screeners, several States still find it a challenge to implement the ICAO Standard. This Working Group, has proposed to provide additional assistance by providing guidance material on what states should request or provide to entities requiring certification. The process is recommended to take place after the State has participated in the National Screener Certification Workshop. It is to note that in many States in the region the screening is either done by a government entity (military, customs, police) or contracted security and in some cases do not come under direct control or authority of the Appropriate Authority responsible for implementing the Annex 17 SARPs. This Working Group was therefore tasked to identify the States that had participated in the NSCP Workshop, Conduct an analysis of the Training States Training Needs utilizing ICAO Reports and Surveys and Statistics.

References:

- Final Report of the AVSEC/FAL/RG/1 Meeting (Asuncion, Paraguay, 25 to 27 May 2011)
- Final Report of the AVSEC/FAL/RG/2 Meeting (Antigua and Barbuda, 16 -17 May 2012)
- ICAO ISD Statistics on National Screener Certification Workshops Participating States
- Universal Security Audit Programme Analysis of Audit

Results Reporting Period: January 2008 – December 2011, Fourth Edition 2012	
<i>Strategic Objectives</i>	<i>This working paper is related to ICAO Strategic Objective B.</i>

1. Introduction

1.1 Certification of Screeners has been an ICAO SARP since the Amendment 11 of Annex 17. As at 1 July 2013 in keeping with Amendment 12 of Annex 17 States, were given 2 year notification that they would now be required to implement a certification process for National Aviation Security Instructors in keeping with the National Civil Aviation Security Programme. Certification is a state responsibility, and this Working Group recognizes the challenges some States within the Region have in meeting that responsibility. The Working Group also acknowledges ICAO's assistance through the delivery of National Screener Certification Workshops. The National Screener Certification Workshop which has been delivered to 19 States within the NARCARSAM region, provided States with the opportunity to develop a Screener Certification Policy document and thus giving States the tools to become compliant with the SARPs. The implementation of the Screener Certification Programme and now the requirement for the Instructor Certification continues to challenge some member State for various reasons.

1.2 Noting States participation in ICAO NSCP Workshop, this Working Group sought to assist States to move to the next step. The AVSEC/FAL G3 is being reminded that the Certification Working Group at the AVSEC/FAL/RG2 developed and presented template documents that could be used by member states in developing course material that would assist them in implementing a Screener Certification Training Programme. The Working Group was tasked to further identify the States that required assistance in implementing the Screener Certification and those that need technical guidance at the Sub-regional and state level. The Working Group was also tasked to research the legislation amongst member group as it regarded the certification of AVSEC Personnel.

2. Discussion

2.1 The Working Group notes that globally 56% of the audited States as at December 2011 have not adequately established a certification process for certification. This can be seen reflected in the regional level where there is a Lack of Effective Implementation (LEI) of Critical Element (CE) 5 and Critical Element (CE) 6, of 44.00 % and 44.77% respectively for the North American Central Caribbean Region and 42.20% and 46.29% for the South American Region. Analysis by Audit Area – Training also has an LEI of 46.08% for NACC and 41.06% for the SAM Region.

2.2 The Implementation Support and Development (Security) Programme and the Technical Cooperation Programme, utilizes the USAP Findings to determine the critical areas that State require technical guidance and support. It is to note that through these initiatives, ICAO delivered the National Screener Certification Workshop in English and Spanish, which benefited participants from 19 States and Territories. Fourteen English Speaking States and Territories and 5 Spanish Speaking States. The English Speaking States represents the Central Caribbean and South American Region and the Spanish Speaking States from Central and South American Region.

2.3 The Certification Working Group identified the English Speaking States that had already participated in a Screener Certification Workshop and analyzed their Audit Findings for member states as it regards Critical Elements 5 and 6, the Audited Areas Results for Training (Regional Statistics). It is to be noted that whilst few States Second Cycle were conducted during the period 2008 – 2009, majority of

the States Identified had their Second Cycle conducted during 2011 and 2012. Only 2 States have had their USAP Second Cycle Audit Conducted.

2.4 Presented in this paper is the breakdown analysis of the States CE 6 LEI Certification and Approval Obligations. The WG found that CE6 LEI was above the Regional Average ranging from 70.83% to 51.85% for English Speaking States audited within the last two years. Whilst English States audited in 2008 -2009 ranged from 100% to 18.18% LEI.

2.5 It was therefore determined that a workshop at the sub-regional level through the regional group CASSOS would be able to give states the additional technical guidance and support required to implement the State Certification Programme since the States and Territories had had the opportunity to receive technical support and guidance by participating in the NSCP Workshop.

2.6 CASSOS Sub-committee AVSEC was approached on this matter and the Workshop is to be included in the Sub-regions working group under technical support. It is to be noted that although technical support and lack of response has hampered the project implementation.

2.7 For the Spanish Speaking States within the region that had participated in a NSCP Workshop LEI for CE 6 was also above the Regional Average ranging from 62% to 60% however one State had a 38.38% LEI which was below the Regional Average and in keeping with the Global Average.

3. Suggested Action

3.1 Subject Matter Experts within the Regional Group be utilized to help States on a short term basis through workshops or technical assistance to help address the need specific to that State or group of States.

3.2 That Sub-regional Groups encourage their member states to seek technical guidance and support through the Regional and Sub-regional groups.

3.3 That States support the sub-regional workshops.