



INTERNATIONAL CIVIL AVIATION ORGANIZATION (ICAO)  
 ORGANIZACIÓN DE AVIACIÓN CIVIL INTERNACIONAL (OACI)

COMISIÓN LATINOAMERICANA DE AVIACIÓN CIVIL (CLAC)  
 LATIN AMERICAN CIVIL AVIATION COMMISSION (LACAC)



**THIRD MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL GROUP  
 (AVSEC/FAL/RG/3)  
 Lima, Peru, 19 to 21 June 2013**

AVSEC/FAL/RG/3 — IP/04  
 06/05/13

**Agenda Item 3.3: Annex 9, FAL Panel, FAL Manual**

**OUTCOMES OF THE SEVENTH MEETING OF THE  
 FACILITATION (FAL) PANEL**

(Presented by ICAO Secretariat)

<b>SUMMARY</b>	
This paper highlights some of the major outcomes of the Seventh Meeting of the Facilitation Panel (FALP/7), which was held in Montréal in October 2012. It describes, in particular, the proposed Amendment 24 to Annex 9 by highlighting the changes that have been communicated to States for comment prior to being submitted to Council for adoption.	
<b>References:</b>	
AT-WP/2081, Report of the Seventh Meeting of the Facilitation Panel (FALP/7)	
<b>Strategic Objective</b>	<i>This information paper is related to ICAO Strategic Objective B – Security.</i>

**1. Introduction**

1.1 The Facilitation Panel (FALP) conducted its Seventh Meeting at ICAO Headquarters in Montréal from 22 to 26 October 2012. The meeting’s recommendations were considered by the Air Transport Committee (ATC) on 25 January 2013. The ATC requested that the Secretariat issue a State letter soliciting comments from Contracting States and relevant international organizations on proposed amendments to Annex 9 - *Facilitation*, which was circulated on 28 February 2013.

1.2 The proposed amendments to Annex 9 relate to issues such as the facilitation of the transport of persons with disabilities, air cargo, utilization of Advance Passenger Information (API) and Passenger Name Record (PNR) data systems, and the upgrading of two unruly passenger-related Recommended Practices (RPs) to Standards. The Panel formed a working group that was tasked with developing new guidance material on the content of a model National Air Transport Facilitation (FAL) Programme and revising and improving existing Facilitation guidance material.

## 2. Key outcomes

2.1 With regard to Persons with Disabilities (PWD), the Panel approved a proposed first draft edition of a *Manual Access to Air Transport by Persons with Disabilities* (originally Circular 274) developed by the Working Group on PWD. The Manual was subsequently approved by the Secretary General in November 2012 and has been published as Doc 9984.

2.2 With regard to developments on API and PNR data, the Panel, inter alia: a) endorsed the work being undertaken by ICAO, the World Customs Organization (WCO) and the International Air Transport Association (IATA) in the “API Contact Committee” pertaining to API and interactive API (iAPI); b) noted that collaboration on API and iAPI matters remains the responsibility of this entity; c) agreed that the applicability of the new “PNRGOV message” and other issues would be raised at the next FAL Panel meeting; and d) recommended that ICAO, subject to availability of funding, conduct API/PNR data-related training and seminars.

2.3 With regard to amendments to Annex 9, the Panel made proposals for amendments to several Chapters, including the insertion of a new Appendix 14. A summary of the recommended changes follows.

2.3.1 With regard to its recommendations to update the facilitation measures relating to air cargo, the Panel requested that the Secretariat seek the views of the AVSEC Panel (AVSECP). After consultation, the proposed amendment to Annex 9 will include the WCO definition of Authorized Economic Operator (AEO) in Chapter 1. Additionally, a proposed RP 4.9.1 was modified to read: “*Contracting States should consider the introduction of programmes for Authorized Economic Operators, allowing for specific security controls. Specific security controls should be based on the shipment information provided to the appropriate authorities in advance and a continuous validation process conducted by the appropriate authorities, and should be in accordance with a risk assessment carried out by the relevant national authorities*”.

2.3.2 With regard to its recommendations to upgrade the existing RPs 6.44 and 6.45 on unruly passengers to Standards, the Panel requested the Secretariat to seek the views of AVSECP in order to ensure coordination with Annex 17; and the work being undertaken by the Legal Committee on unruly passengers. As a result of the consultation, which recommended that the Standards be more performance-based and less prescriptive, the recommendation to upgrade RP 6.44 and RP 6.45 to Standards includes the following text “*Each Contracting State shall, to deter and prevent unruly behaviour, promote passenger awareness of the unacceptability and legal consequences of unruly or disruptive behaviour in aviation facilities and on board aircraft*” for Standard 6.44, and “*Each Contracting State shall take measures to ensure that relevant personnel are provided training to identify and manage unruly passengers situations*” for Standard 6.45.

2.4 The Panel also formed and agreed to the Terms of Reference for a Working Group on Guidance Material, with the Panel Member from Singapore being appointed Rapporteur of the Group. Its tasks are to: (a) develop a model National Facilitation Programme for subsequent insertion in *The Facilitation Manual* (Doc 9957), including guidance material on the use of the model; (b) undertake an in-depth review of Doc 9957; and, (c) undertake an in-depth review of *International Signs to Provide Guidance to Persons at Airports and Marine Terminals* (Doc 9636) with a view to determining the need for revision and signage refinement, in light of the evolution of air and maritime transport since its publication in 1995.

2.5 The Panel is scheduled to hold its Eighth Meeting in Montréal in May 2015.