



INTERNATIONAL CIVIL AVIATION ORGANIZATION (ICAO)
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AVSEC/FAL/RG/4 — WP/03

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**FOURTH MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL
GROUP (AVSEC/FAL/RG/4)**

ICAO NACC Regional Office, Mexico City, Mexico, 3 to 5 June 2014

- Agenda Item 3: Global and Regional Developments**
3.1.1 Annex 17, AVSEC Panel, AVSEC Manual
3.1.2 Annex 9, FAL Panel, Facilitation Manual

**AVIATION SECURITY AND FACILITATION DEVELOPMENTS
SINCE THE LAST AVSEC/FAL/RG**

(Presented by the Secretariat)

EXECUTIVE SUMMARY

This working paper highlights a number of developments in ICAO's Aviation Security and Facilitation programmes since the last AVSEC/FAL/RG Meeting, held in Lima, Peru in June 2013. It also highlights the benefits of attending the upcoming Symposium on Innovation in Aviation Security, ICAO HQ, Montréal, 21 to 23 October 2014; and the Symposium and Exhibition on MRTDs, Biometrics and Border Security, ICAO HQ, Montréal, 7 to 9 October 2014.

Action:	Action is presented in Section 7.
<i>Strategic Objectives:</i>	<ul style="list-style-type: none">• Security and Facilitation
<i>References:</i>	<ul style="list-style-type: none">• <i>Annual Report of the Council – 2013</i>• <i>Joint Communiqué on Enhancing Air Cargo Security and Facilitation – Collaborative Solutions to Future Challenges in Manama, Bahrain (16-17 April 2014)</i>

1. Introduction

1.1 In the period since the last AVSEC/FAL/RG Meeting held in June 2013, ICAO has continued its cooperation with Member States and other stakeholders with the aim of improving aviation security and air transport facilitation, both at the regional and global levels. The 38th Session of the ICAO Assembly noted the progress made during the triennium to enhance civil aviation security (AVSEC), notably through strengthening of Annex 17 – *Security* provisions for air cargo, delivery of technical assistance to all regions, and timely completion of the second cycle of ICAO security audits. It endorsed the Council's proposal to pursue a Strategic Objective for 2014-2016 that calls for enhancement of both aviation security and facilitation, thus underscoring the importance of implementing effective and efficient facilitation processes and security measures.

1.2 A series of regional and international events have also occurred dealing with aviation security and facilitation. These include: three Regional Seminars on Machine Readable Travel Documents (MRTDs), Biometrics and Identification Management held between July 2013 and April 2014; the Ninth Symposium and Exhibition on MRTDs, Biometrics and Border Security, held in October 2013; a Diplomatic Conference to Consider Amending the Tokyo Convention of 1963, held in April 2014; ICAO and ACI-Africa Regional Security Conference and Exhibition, held in April 2014; and an ICAO - WCO Joint Conference on Enhancing Air Cargo Security and Facilitation, held in April 2014. Upcoming events are also detailed further in this working paper.

2. Changes to Annex 17

2.1 Amendment 13 to Annex 17 became applicable on 15 July 2013. The revised and new provisions further the implementation of supply chain security measures with special focus on high-risk cargo, as well as the use of common baseline security measures for cargo to be transported on passenger or all-cargo aircraft. The Amendment includes a revised and comprehensive Standard for the screening and security control of persons other than passengers.

2.2 The Council approved a draft Amendment 14 to Annex 17, and the proposed Amendment was circulated to States for comment. Amendment 14, which becomes applicable on 14 November, includes provisions that will further strengthen cargo and mail security, oversight requirements and international cooperation, as well as measures for dealing with landside and cyber threats.

3. Cargo Security

3.1 Revised guidance material on air cargo and mail security was made available to States in the form of an amendment to the *Aviation Security Manual* (Doc 8973/8) and is accessible at the ICAO secure website.

3.2 ICAO initiated new cooperative activities with the World Customs Organization (WCO). The two organizations commenced a joint action plan for enhancing cargo security and facilitation processes through various activities such as joint conferences and training initiatives. One product of collaboration in 2013 was a joint publication entitled *Moving Air Cargo Globally*. The new brochure promotes awareness of effective ways that stakeholders can work together to secure the air cargo and mail supply chain. In April 2014, an ICAO – WCO Joint Conference on Enhancing Air Cargo Security and Facilitation was held in Manama, Bahrain. A joint communiqué highlighting the outcome of the conference can be found at: <http://bit.ly/1nTUKcz>

4. **Symposium on Innovation in Aviation Security, ICAO HQ, Montréal, 21 to 23 October 2014**

4.1 For the first time ever, ICAO is bringing together government officials, regulators, airport authorities, airline executives, original equipment manufacturers (OEMs), academics and other senior decision makers to understand and guide future innovations in aviation security technologies. This unique three-day event will focus on achieving solutions for global aviation security and facilitation objectives, mainly by optimizing existing technologies and procedures, strengthening partnerships between States and manufacturers, and driving increased innovation in AVSEC technology.

4.2 Based on the theme of *Innovation for the Enhancement of Aviation Security*, the Symposium will help States, industry, academic researchers and other AVSEC professionals explore how technology, tools and equipment can help States and industry meet both existing and future aviation security challenges. It will feature presentations and panels on relevant themes as well as conclusions based on the discussions. Additional information about the Symposium can be found at <http://www.icao.int/Meetings/SIAS/Pages/default.aspx>.

5. **Facilitation**

5.1 In November 2013, the Council adopted Amendment 24 to Annex 9, which will become applicable on 29 July 2014. This Amendment addresses the transport of persons with disabilities, the security of the travel document issuance process, inspection of travel documents at airports, utilization of Advance Passenger Information (API) and Passenger Name Record (PNR) data systems, and the procedures relating to the removal of inadmissible persons and deportees.

5.2 Guidelines for States' implementation of PNR systems were revised and posted on ICAO's website. Endorsed by ICAO, the WCO and the International Air Transport Association (IATA), the standard electronic message format for transmitting PNR data from airlines to States is intended to harmonize the use of PNR systems globally, increase the effectiveness of data utilization and enhance both aviation security and facilitation.

5.3 ICAO joined IATA and WCO in compiling a passenger data toolkit that contains a set of training and awareness materials on API and PNR. The toolkit will be used in the context of a worldwide campaign to promote global standards and guidelines for API and PNR.

5.4 The fourth edition of the *Guidelines on Advance Passenger Information*, published jointly by ICAO, WCO and IATA, was made available on the ICAO website. The document aims to help States implement national API programmes as well as promote international harmonization. It incorporates guidance on the Passenger List (PAXLST), a standard electronic message developed specifically to handle passenger manifest transmissions. It features new provisions for addressing aviation security as well as issues related to data protection and “Interactive API” (iAPI), a more advanced method of passenger processing at airports. Standardized PNR messaging and the API guidelines complement relevant Annex 9 SARPs.

6. **Symposium and Exhibition on MRTDs, Biometrics and Border Security, ICAO HQ, Montréal, 7 to 9 October 2014**

6.1 ICAO will hold the Tenth Symposium and Exhibition on Machine Readable Travel Documents (MRTDs), Biometrics and Border Security from 7 to 9 October 2014. This global annual event will address ICAO MRTD standards and specifications, identification management best practices and related border security issues. In addition, the Symposium will provide an overview of milestones in moving from the early MRTD specifications to a coherent ICAO policy framework on holistic traveller identification management - the ICAO Traveller Identification Programme (ICAO TRIP).

6.2 The special focus of the 2014 Symposium is the evidence of identification (EoI), civil registries and foundation documents required to apply for a passport. The foundations of holistic traveller identification management will be explored, including why having a robust national identification management system is a pre-condition for security and facilitation benefits provided by ICAO-compliant MRTDs, eMRTDs and modern border control systems.

6.3 The Symposium will explore the integrity of passport issuance process. Document issuance and control, a component of the ICAO TRIP Strategy, offers concepts and good practices in preventing the fraudulent use of MRTDs by individuals who are not entitled to them. Challenges and best practices in providing implementation and capacity-building assistance to States in the areas of EoI, civil registries and the integrity of MRTD issuance process will be also examined. Additional information about the Symposium can be found at: <http://www.icao.int/Meetings/mrtd-symposium-2014/Pages/default.aspx>.

7 **Suggested action**

7.1 The Meeting is invited to:

- a) note the information of this working paper; and
- b) promote participation in the upcoming Symposia by the appropriate entities within their States.