



**WORKING PAPER**

AVSEC/FAL/RG/8 — WP/13  
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**Eighth Meeting of the ICAO/LACAC NAM/CAR and SAM Aviation Security and  
Facilitation Regional Group (AVSEC/FAL/RG/8)**  
Mexico City, Mexico, 13 to 17 August 2018

**Agenda Item 7: Training, Cooperation, and Assistance**  
**7.1 Implementation Support and Development Section – Security (ISD-  
SEC) Update**

**DECLARATION OF THE REGIONAL CONFERENCE ON AVIATION SECURITY FOR THE AMERICAS AND THE  
CARIBBEAN AND NAM/CAR AND SAM REGIONAL ROADMAP**

(Presented by the Secretariat)

**EXECUTIVE SUMMARY**

This paper reports on the outcomes of the Regional Conference on Aviation Security for the Americas and the Caribbean and the Declaration of the support and adoption of the NAM/CAR and SAM Regional Roadmap to improve aviation security in these regions, and that will help to guide future capacity development work undertaken by NACC and SAM Regional Offices in coordination with the ICAO Implementation Support and Development – Security (ISD-SEC) Section.

<b>Action:</b>	Required action is referred in Section 6.
<i>Strategic Objectives:</i>	<ul style="list-style-type: none"><li>• Security &amp; Facilitation</li></ul>
<i>References:</i>	<ul style="list-style-type: none"><li>• ICAO Assembly Resolution A39-18</li><li>• United Nations Security Council Resolution (UNSCR) 2309 (2016)</li><li>• Global Aviation Security Plan (GASeP)</li><li>• <i>Aviation Security Manual</i> (Doc 8973 - Restricted), tenth edition</li><li>• Annex 17 - Security</li><li>• Annex 9 - Facilitation (security related issues)</li></ul>

## 1. Introduction

1.1 Following the United Nations Security Council Resolution (UNSCR) 2309 (2016), the Secretary General of ICAO shared with the States Members the proposed Global Aviation Security Plan (GASeP) in July 2017. Later in November 2017, the 212 Session of the ICAO Council adopted that Plan. The GASeP will serve as a roadmap to improve aviation security, and will help to guide future capacity development work undertaken by ICAO, thereby complementing existing Assembly Resolutions and the No Country Left Behind (NCLB) initiative.

## 2. Discussion

2.1 The Seventh Meeting of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/7) held at the ICAO South American Regional Office, from 4 to 6 October 2017, decided to conduct a Workshop to align the GASeP with its Strategic Objectives, and the Alignment of the Strategic Plan of the AVSEC/FAL Regional Group with the Global Plan for Aviation Security (GASeP) Workshop was conducted in SAM Regional Office, Lima, Peru, from 7 to 11 May 2018.

2.2 The abovementioned workshop produced an initial Regional Roadmap recognizing the five key priority outcomes identified by the ICAO GASeP, where ICAO, Member States and stakeholders focus their urgent attention, resources and efforts to enhance aviation security and improve security effectiveness on a regional scale. States representatives decided to present that regional roadmap to the Regional Conference in Panama.

2.3 Hosted by the Panamanian Civil Aviation Authority, the Regional Conference on Aviation Security for the Americas and the Caribbean was held in Panama City, Panama, from 24 to 27 July 2018. The Conference was attended by the ICAO Secretary General and by 151 delegates from 22 States/Territories from the NAM/CAR/SAM Regions and three International Organizations.

2.4 The first day was assigned to review the initial Regional roadmap proposed by the Workshop of strategic objectives alignment of the AVSEC/FAL/RG. On the following days ICAO presented information on the assistance that NACC and SAM Regional Offices in coordination with ISD-Sec HQ provide to NAM/CAR and SAM States to enhance their effective implementation. Further expositions from different authorities of NAM/CAR and SAM States were presented regarding their aviation security implementation and the future implementation of the ICAO GASeP within their States and the region.

2.5 The authorities and stakeholders attending the Conference agreed on a Declaration for the implementation of the NAM/CAR and SAM Aviation Security Regional Roadmap to comply with the ICAO GASeP. Copy of that Declaration and GASep Conclusions are attached in **Appendix A** and **Appendix B** to this paper. That document highlights, inter alia, that the Regional Roadmap is a living document, and designates to the AVSEC/FAL/RG the responsibility for maintaining it updated. It also encourages the coordination among ICAO, the NAM/CAR and SAM States, and stakeholders to work towards the implementation of the five key priority outcomes of the GASeP, to be in compliance with UN SC resolution 2309 (2016) and respective ICAO Assembly Resolutions under ICAO's leadership.

2.6 The Conference also encouraged other States that could not attend that transcendental event in Panama; to endorse the NAM/CAR and SAM aviation security regional roadmap (**see Appendix C**). Further actions will be discussed in the next Eighth Meeting of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/8) to be held at the NACC Regional Office in Mexico City, Mexico, 13 to 17 August 2018. All CAA Authorities were encouraged to send their AVSEC representatives to be involved in this regional process of effective change.

### **3. Suggested actions**

3.1 In order to be in compliance with UN SC resolution 2309 (2016) and respective ICAO Assembly Resolutions, and furthermore to work towards the implementation of the five key priority outcomes of the ICAO GASeP, the Meeting is invited to:

- a) encourage all NAM/CAR States to adhere to the Declaration and Conclusions of the Regional Conference on Aviation Security for the Americas and the Caribbean, and to endorse the NAM/CAR and SAM aviation security roadmap to comply with the ICAO GASeP;
- b) request any specific assistance on aviation security (AVSEC) to the NACC Regional Office in order to enhance their AVSEC national system.

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**APPENDIX A**  
**NAM/CAR AND SAM GASEP PANAMA CONFERENCE DECLARATION**

The NAM/CAR y SAM Regional Conference to “Address common challenges through implementation of the ICAO Global Aviation Security Plan (GASeP)” was conducted in Panama city, Panama from 24 to 27 July 2018. The participants of the conference (States, International and Regional Organizations and Industry) agreed on the following:

**To recognize** that the regional aviation security roadmap is a living document contributing to effective implementation of the GASeP by States and other stakeholders in the NAM/CAR y SAM regions and if and where appropriate beyond;

**To designate** the AVSECFAL Regional Group the responsibility for maintaining updated the regional aviation security roadmap in coordination with the NACC and SAM Regional Offices, and other involved entities , as appropriate ;

**To commit** to work together with the shared and common goal of achieving the five key priority outcomes of the GASeP in the entire NAM/CAR y SAM regions in the time scale set out in Appendix A to the regional roadmap

**To recognize** that the five key priority outcomes of the GASeP provide a welcome focus whose achievement would represent a qualitative improvement in global aviation security;

**To request ICAO**, the commitment to update the information of USAP-CMA activities, mainly on how the CMA will be developed, including the factors and indicators, on how States can progress in the different type of audit activities according to their achieved results;

**To develop** a coordination system among the NAM/CAR and SAM States, involved parties and ICAO on audits and peer review through the development and implementation of rectification plans at national and regional levels to address gaps and vulnerabilities identified, including enhance training and guidance on quality control and assurance;

**To request ICAO**, to improve the USAP-CMA process in order to provide liable and updated information on the effective implementation of the of ICAO aviation security Standards;

**To support** the ICAO “No country left behind” initiative, so that States, regional organizations and stakeholders, in coordination with ICAO NACC and SAM regional offices commit to give support to other States in the implementation and compliance of the regional roadmap, if needed;

**To monitor**, through the ICAO regional offices the implementation of the NAM/CAR and SAM roadmap, by periodical reporting the progress to the appropriate regional entities, i.e AVSEC/FAL/RG, DGs meetings of the NAM/CAR and SAM regions, based on the information received from States regarding the progress on the roadmap implementation;

**To work** in compliance with UN SC resolution 2309 (2016) and respective ICAO assembly resolutions under ICAO’s leadership; and

**To endorse** the attached NAM/CAR and SAM aviation security roadmap discussed in Panama (Appendix B).

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**APPENDIX B****Conclusions of the NAM/CAR and SAM Regional Aviation Security Conference to address Common Challenges through implementation of the ICAO Global Aviation Security Plan (GASeP)**

(Panama City, Panama 24 – 27 July, 2018)

1. The North America, Central America and Caribbean, and South America (NAM/CAR and SAM) Aviation Security Roadmap, was endorsed by the Regional Conference to “Address Common Challenges Through Implementation of the ICAO GASeP was conducted in Panama city, Panama from 24 to 27 July 2018. The participants of the conference (States, International and Regional Organizations and Industry) agreed on the following:
2. Appendix A of the Roadmap takes into consideration regional particularities and reflects aviation security actions/tasks as well as responsibilities and projected outcomes linked to the priority actions (PAs) from the GASeP, under the following five (5) key priorities outcomes:
  - a. Enhance risk awareness and response;
  - b. Develop security culture and human capability in Aviation Security;
  - c. Improve technological resources and foster innovation;
  - d. Improve oversight and quality assurance; and
  - e. Increase regional cooperation and support
3. This Roadmap is a “living” document aligned with the GASeP, and takes into consideration relevant ICAO Regulatory body decisions and directions.
4. The NAM/CAR and SAM AVSEC Roadmap will bring together the ICAO NACC and SAM Regional Offices, States, regional organizations and stakeholders, in a holistic and coordinated effort, to strengthen regional and inter-regional collaboration in aviation security. The main objective of the Roadmap is to assist and encourage all NAM/CAR and SAM stakeholders to work collaboratively towards effective implementation of agreed actions/tasks to achieve the objectives of the GASeP, compliance with Annex 17 and sustainability of the global and regional aviation security system using the NAM/CAR and SAM AVSEC/FAL Regional Group as the main forum (in coordination with other relevant bodies/groups/fora) to foster information sharing amongst all stakeholders.

5. With ICAO, all States, regional organizations and stakeholders fulfilling their responsibilities in implementing the Roadmap, the sustained level of secure and safe civil aviation operations would contribute towards preventing human, economic, financial and other losses. A strengthened aviation system provides for a conducive environment for economic growth and development in the NAM/CAR and SAM States.
6. Appendix A of the Roadmap will be reviewed and updated in a coordinated manner by the AVSEC/FAL Regional Group of the ICAO NAM/CAR and SAM Regional Offices (in cooperation with other appropriate bodies) taking into account existing and emerging aviation security threats specific to the region, major evolutions in the aviation system, as well as the GASeP and its future amendments.
7. The AVSEC/FAL Regional Group taking into consideration the provisions of the available resources of States and stakeholders should support the development of detailed National Action Plans to ensure the effective implementation of respective actions/tasks of the Roadmap.
8. Para apoyar la iniciativa de la OACI “Ningún país se queda atrás”, se insta a los Estados, organizaciones regionales y partes involucradas, en coordinación con las Oficinas Regionales NACC y SAM de la OACI, a brindar su apoyo, según fuera necesario, a otros Estados y entidades que lo necesiten, para la implementación de la Hoja de ruta regional.
9. States should determine and implement the relevant actions/tasks in a prioritized manner considering their greatest areas of risk in compliance with the Annex 17 Standards. In order to assist with this prioritization, States may obtain information from the ICAO Aviation Security Global Risk Context Statement, ICAO USAP audit results, and feedback from the Regional Offices accredited to the NAM/CAR and SAM States.
10. The AVSEC/FAL Regional Group will work with the ICAO Regional Offices accredited to the NAM/CAR and SAM States to collaborate with States, regional organizations, and stakeholders to support the effective implementation of the Roadmap.

11. The ICAO NACC and SAM Regional Offices will monitor the implementation of the NAM/CAR and SAM AVSEC Roadmap and provide periodic progress reports to relevant Regional bodies, e.g. AVSEC/FAL Regional Group and the ICAO NAM/CAR and SAM Directors General meeting based on the feedback received from States regarding the implementation of the Roadmap.
12. The national appropriate authorities responsible for aviation security in NAM/CAR and SAM Regions should ensure that the actions/tasks of the Roadmap implemented in all States are in accordance with the detailed action plans to be developed by the States:
  - Enhance risk awareness and response. Understanding risk is essential for policies and measures that are effective, proportionate and sustainable. Undertaking risk assessments will help to identify gaps and vulnerabilities, which can then be urgently addressed in the most practical way possible, and with optimal use of resources.
  - Develop security culture and human capability in aviation security. The promotion of effective security culture is critical to achieve good security outcomes. A strong security culture must be developed from the top management across and within every organization. The existence of a well-trained, motivated and professional work force is a critical prerequisite for effective aviation security.
  - Improve technological resources and encourage innovation. Promoting and applying better technological solutions and innovative techniques can provide the tools for enhancing security effectiveness while ensuring operational efficiency.
  - Improve oversight and quality assurance. Effective quality control and oversight processes globally, nationally, and locally are critical in delivering sustained effective aviation security.
  - Increase regional cooperation and support. Increasing collaboration between the ICAO NACC and SAM Regional Offices and amongst and within States, regional organizations and stakeholders will enable the key security objectives to be achieved more quickly and efficiently.

13. Additionally the NAM/CAR and SAM Regional Conference also agreed to develop a coordinated system on audits and peer review through the development and implementation of rectification plans at national and regional levels to address gaps and vulnerabilities identified, including enhance training and guidance on quality assurance, amongst the NAM/CAR and SAM States, and involved parties.
14. Furthermore, it was agreed that ICAO should work to improve the USAP-CMA process in order to provide reliable and updated information on the effective implementation of the of ICAO aviation security Standards.
15. Lastly, it was agreed to work in compliance with UN SC resolution 2309 (2016) and respective ICAO assembly resolutions under ICAO's leadership; and to endorse the NAM/CAR and SAM aviation security roadmap discussed in Panama.

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**APPENDIX C**  
**ICAO AMERICAS AND CARIBBEAN AVIATION SECURITY ROADMAP ENDORSED**  
(ICAO News Release)

Panama City and Montréal, 8 August 2018 – Stressing that it will align respective national and regional programmes and targets with ICAO’s new Global Aviation Security Plan (GASeP), ICAO Secretary General Dr. Fang Liu is welcoming the recent endorsement of an Aviation Security Roadmap for the UN specialized agency’s North American, Central American, Caribbean (NACC) and South American (SAM) Regions.

The ICAO Regional Aviation Security Conference, hosted by Panama, was opened by Secretary General Liu and Mr. Carlos Rubio, Minister of Government, who was acting on behalf of the President of Panama. Panama’s Minister for Public Security, Mr. Alexis Bethancourt, also participated in the programme.

In her address to the Conference, Dr. Liu highlighted key priorities set forth under the GASeP, which are now important areas of focus for the Organization, its Member States and other agencies.

“Aviation security has experienced a major shift over the past two years,” Dr. Liu underscored, “with new resolutions supporting its effectiveness and sustainability being adopted by the most recent ICAO Assembly, and by the UN Security Council. The GASeP and UN Security Council resolution 2309, taken together, provide us with an aligned foundation from which to pursue better coordinated and more effective actions to prevent acts of unlawful interference against civil aviation targets.”

The new Regional GASeP Roadmap will improve international coordination among the States of the NACC and SAM regions, and Secretary General Liu praised the related commitments which were realized specific to the implementation challenges, gaps and resources of these Regions and their States.

She also noted that ICAO was seeing a similar dynamic being emulated worldwide, and that the new Roadmap would entail some important work ahead for a number of States. She called on these governments “to be open to accepting assistance to enhance their local effective implementation, whether it involves training, technology, or mentoring, and to ensure that any solutions set out are fully sustainable.”

Highlighting ICAO’s Regional Aviation Security Coordination Forums, Dr. Liu reiterated that a key first step will be to advertise the GASeP and the new Regional Roadmap as widely as possible among all Regional stakeholders. She added that ICAO will continue to help coordinate assistance and capacity building activities between donor and partner States, and organizations and recipient States, consistent with its ongoing No Country Left Behind initiative.

Thanking the U.S.A. and Canada for the voluntary funds they have provided to support related assistance projects, Dr. Liu reiterated ICAO’s priority “to ensure that States have available, and can retain, sufficient numbers of regulatory and operational aviation security professionals.”

Dr. Liu also reminded her audience that ICAO will discuss GASeP and other aviation security progress made at its back-to-back AVSEC2018 Symposium and second ICAO High-Level Conference on Aviation Security in Montréal this November.

“It will only be by virtue of sustained political will, especially at the highest levels of government and industry, that the Global Aviation Security Plan will succeed in its ambitious mandate,” she concluded.

While in Panama, Dr. Liu conducted bilateral discussions with the President of Panama on the roles of international civil aviation connectivity and ICAO compliance as key enablers for socio-economic development. His Excellency Juan Carlos Varela appreciated Dr. Liu’s views and ensured that Panama’s vision for civil aviation development is fully aligned with ICAO’s Strategic Objectives.

The Secretary General held additional meetings with the Acting Minister of Foreign Affairs of Panama, Mr. Luis Miguel Hincapié, and the Director General of the Civil Aviation Authority of Panama, Mr. Alfredo Fonseca Mora, focused on projected air transport growth, related aviation infrastructure needs, and the benefits to be realized when States incorporate their aviation development requirements into their national planning strategies,

During the bilateral meetings with local authorities, the current Aviation Security deficiencies identified by the audit in Panama were an important theme raised by the Secretary General. Dr. Liu stressed ICAO’s support to help address the situation as soon as possible, and the authorities, including the President of Panama and its DGCA, ensured her that a corrective action plan would be presented to ICAO in the next few weeks.

Dr. Liu also spoke in Panama with the Administrator of the United States’ Transport Security Administration, Mr. David Pekoske, and with the Assistant Deputy Minister for Safety and Security of Transport Canada, Mrs. Lori MacDonald. During these bilateral meetings, the continuous mutual support for Security and Facilitation activities as well as the development of new initiatives to address emerging issues and capacity building in local States were discussed.

Dr. Liu was accompanied throughout her visits by ICAO Regional Directors Mr. Melvin Cintron and Mr. Fabio Rabbani, and by ICAO’s Deputy Director for Aviation Security and Facilitation, M. Sylvain Lefoyer.

ICAO’s Panama Conference was the first multi-regional event to convene NACC and SAM aviation security leaders, and was attended by more than 200 delegates representing 40 Member States and three International Organizations.

The event was concluded with a declaration of support for the Regional GASeP Roadmap and the related event themes.